

Member No:

Entity Name:

Sub Account (if applicable):

**I. CERTIFICATION OF BENEFICIAL OWNER(S)**

Persons opening an account on behalf of a legal entity must provide the following information:

- a. *Individual Opening Account*  
*Name of individual:*  
*Title:*
- b. Legal Entity for Which the Account is Being Opened  
*Name of Legal Entity:*  
*Type of Legal Entity:*  
*Address (Business Street Address, No PO Box):*
- c. *The following information for each individual if any, who, directly or indirectly, through any contract, arrangement, understanding, relationship or otherwise, owns 25 percent or more of the equity interests of the legal entity listed above:*

**OWNERSHIP**

(If no individual meets this definition, please write "Not Applicable.")

Name	Date of Birth	Address (Residential or Business Street Address)	For U.S. Persons: Social Security Number	For Non-U.S. Persons: Social Security Number, Passport Number and Country of Issuance, or other similar Identification number <sup>1</sup>	Ownership Percentage

- d. *The following information for one individual with significant responsibility for managing the legal entity listed above, such as:*  
*An executive officer or senior manager (e.g., Chief Executive Officer, Chief Financial Officer, Chief Operating Officer, Managing Member, General Partner, President, Vice President, Treasurer); or (b) Any other individual who regularly performs similar functions.*

**CONTROL**

(If appropriate, an individual listed under section (c) above may also be listed in this section (d)).

Name	Date of Birth	Address (Residential or Business Street Address)	For U.S. Persons: Social Security Number	For Non-U.S. Persons: Social Security Number, Passport Number and Country of Issuance, or other similar identification number <sup>1</sup>

I, \_\_\_\_\_ (print name of individual opening account), hereby certify, to the best of my knowledge, that the information provided above is complete and correct.

\*\*Beginning January 1, 2024, you will need to self-report your beneficial ownership information to the Financial Crimes Enforcement Network (FinCEN). [fincen.gov/boi](http://fincen.gov/boi)

Signature: \_\_\_\_\_

Date: \_\_\_\_\_

<sup>1</sup> In lieu of a passport number, Non-U.S. Persons may also provide a Social Security Number, an alien identification card number, or number and country of issuance of any other government-issued document evidencing nationality or residence and bearing a photograph or similar safeguard.

Legal Entity Identifier \_\_\_\_\_ (Optional)

\*\*For certificates of deposit that automatically renew, by signing this form you agree to notify Northwest Federal Credit Union of any change(s) in beneficial ownership information.